

ORIGINAL RESEARCH

COL12A1 as a prognostic biomarker in HER2-enriched breast cancer and its association with immune infiltration

Yi Hu^{1,†}, Xiaomei Huang^{2,†}, Xiaohui Lu^{1,*}, Shan Lin^{3,*}

¹Department of Clinical Laboratory, Xiamen Key Laboratory of Genetic Testing, the First Affiliated Hospital of Xiamen University, School of Medicine, Xiamen University, 361003 Xiamen, Fujian, China

²Department of Marine Biology, Xiamen Ocean Vocational College, 361100 Xiamen, Fujian, China

³Department of Orthopedics, the First Affiliated Hospital of Xiamen University, School of Medicine, Xiamen University, 361003 Xiamen, Fujian, China

***Correspondence**

lxh77823@sina.com

(Xiaohui Lu);

2018642046@xmu.edu.cn

(Shan Lin)

† These authors contributed equally.

Abstract

To inspect the expression, characteristics, clinical prognostic value and the level of immune infiltration of *Collagen Type XII Alpha 1 Chain (COL12A1)* in breast cancer (BC) using bioinformatics. We investigated the expression of *COL12A1* in breast cancer and normal breast tissues using Tumor Immune Estimation Resource (TIMER2.0) and the University of ALabama at Birmingham CANcer data analysis Portal (UALCAN). The correlation between *COL12A1* and overall survival (OS) was determined using Kaplan-Meier Plotter in BC. The correlation between *COL12A1*, immune infiltrating cells and immune checkpoints was detected *via* TIMER2.0. We found that the expression of *COL12A1* was significantly higher in BC tissues than in normal tissues. A higher expression level of *COL12A1* correlated with lymph node metastasis and worse OS in human epidermal growth factor receptor 2 (HER2)-enriched BC patients. Moreover, *COL12A1* was positively correlated with M2 macrophages and immune checkpoint *programmed cell death 1 ligand 2 (PDCD1LG2)* but negatively correlated with activated natural killer (NK) cells and cluster of differentiation 8 (CD8)+ T cells in HER2-positive BC. *COL12A1* might act as a biomarker indicating poor prognosis in HER2-enriched BC and correlate with immune infiltration in HER2-positive BC.

Keywords*COL12A1*; Breast cancer; Immune infiltration; Bioinformatics

1. Introduction

Breast cancer (BC) is the most common cancer in women, with its morbidity and mortality ranking at the forefront of all cancers in 2020 [1]. Progress in gene expression profiling and molecular diagnostic techniques have revealed considerable heterogeneity in BC. Based on the expression of the human epidermal growth factor receptor 2 (HER2), progesterone receptor and estrogen receptor, BC can be grouped into basal-like/triple negative, luminal A, luminal B, HER2-enriched and normal-like intrinsic subtypes by Prediction Analysis of Microarray 50 (PAM50) gene expression assay [2]. Currently, growing data suggest several causes of biological heterogeneity containing DNA mutations, gene expression and immune microenvironment, may affect treatment outcomes, thus, affecting oncologists' treatment decision process in BC [3].

Immune cells infiltration, especially anti-tumor lymphocytes infiltration, has shown promises in predicting improved clinical prognosis in all BC subtypes [4]. Moreover, higher levels of tumor-infiltrating lymphocytes (TILs) were reported to significantly associate with decreased distant recurrence rates in primary triple-negative BC and increased trastuzumab beneficial result in HER2-positive BC [5]. Thus, research on novel biomarkers has been a focus over the past few decades

as they have the potential to identify novel therapeutic targets for optimizing the therapy of BC patients, especially those with HER2-positive metastatic BC [6].

Collagen Type XII Alpha 1 Chain (COL12A1), a major extracellular matrix (ECM) protein, is encoded by a gene chromosomally located at 6q12-q13 [7]. ECM in the tumor microenvironment has been proved to take part in the occurrence and progress of neoplasms [8]. Upregulation of *COL12A1* and its association with prognosis have received increasing attention in several different cancers, including colorectal carcinoma [9], gastric carcinoma [10], pancreatic cancer [11], and breast cancer [12]. Due to the heterogeneity of BC, the role of *COL12A1* in HER2 enriched BC needs further exploration.

With advancements in sequencing technology, bioinformatics has been widely used in tumor pathogenesis, tumor immune infiltration and prognosis assessment. In this study, the expression characteristics of *COL12A1* in BC, the correlation between *COL12A1* and the prognosis of BC, and the level of immune infiltration correlated with *COL12A1* in HER2-positive BC were analyzed using bioinformatics.

2. Materials and methods

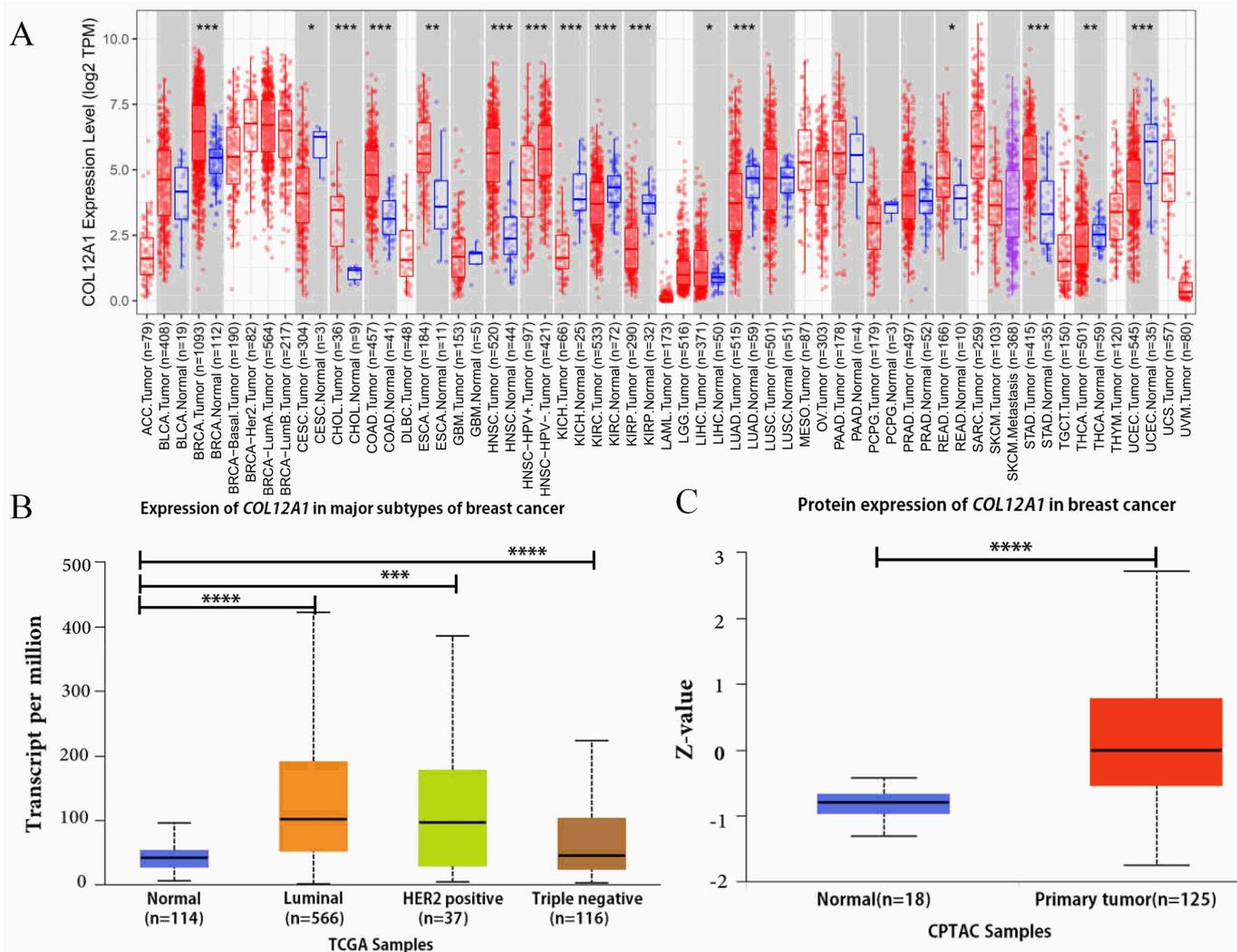


FIGURE 1. The expression levels of COL12A1 in cancers, including BC. (A) The differential mRNA levels of COL12A1 between tumor and adjacent normal tissues in human cancers from the TCGA database analyzed using TIMER2.0. Gray columns indicate normal data were available. (B) The mRNA expression levels of COL12A1 were upregulated in major subtypes of BC in the TCGA database (114 normal breast samples, 566 luminal, 37 HER2-positive and 116 triple negative breast cancer samples), analyzed using UALCAN. (C) The protein expression levels of COL12A1 in BC from the CPTAC database (18 normal breast samples and 125 primary tumor samples) analyzed using UALCAN. * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$, **** $p < 0.0001$. BC, breast cancer; COL12A1: Collagen Type XII Alpha 1 Chain; HER: human epidermal growth factor receptor; TCGA: The Cancer Genome Atlas; CPTAC: Clinical Proteomic Tumor Analysis Consortium; UALCAN: The University of ALabama at Birmingham CANcer data analysis Portal; TPM: transcripts per million.

2.1 COL12A1 expression analysis

Two databases were used to investigate COL12A1 expression in BC. Tumor IMMune Estimation Resource (TIMER2.0) [13] was used to detect the expression level of COL12A1 in an array of tumors from The Cancer Genome Atlas (TCGA). The cancer exploration module and Gene-DE were used, and COL12A1 was selected for gene expression analysis. The mRNA and protein expression levels of COL12A1 in BC from the TCGA and Clinical Proteomic Tumor Analysis Consortium (CPTAC) were detected using UALCAN (ualcan.path.uab.edu) [14].

2.2 Survival analysis

The Kaplan-Meier Plotter [15] database was constructed relied on the gene chip and RNA-Seq databases of public databases as well as Gene Expression Omnibus (GEO) and TCGA. Start KM Plotter for BC was selected as the analysis module to investigate the clinical prognostic value of COL12A1 in BC. COL12A1 was selected as the Gene symbol, and the median cut-off value was identified. PAM50 was used to classify the cases into the following groups: all ($n = 2976$), basal ($n = 309$), luminal A ($n = 1504$), luminal B ($n = 668$), HER2 ($n = 295$), normal ($n = 200$), HER2 and positive lymph node status ($n = 137$), HER2 and negative lymph node status ($n = 139$), which were then used for overall survival (OS) analysis.

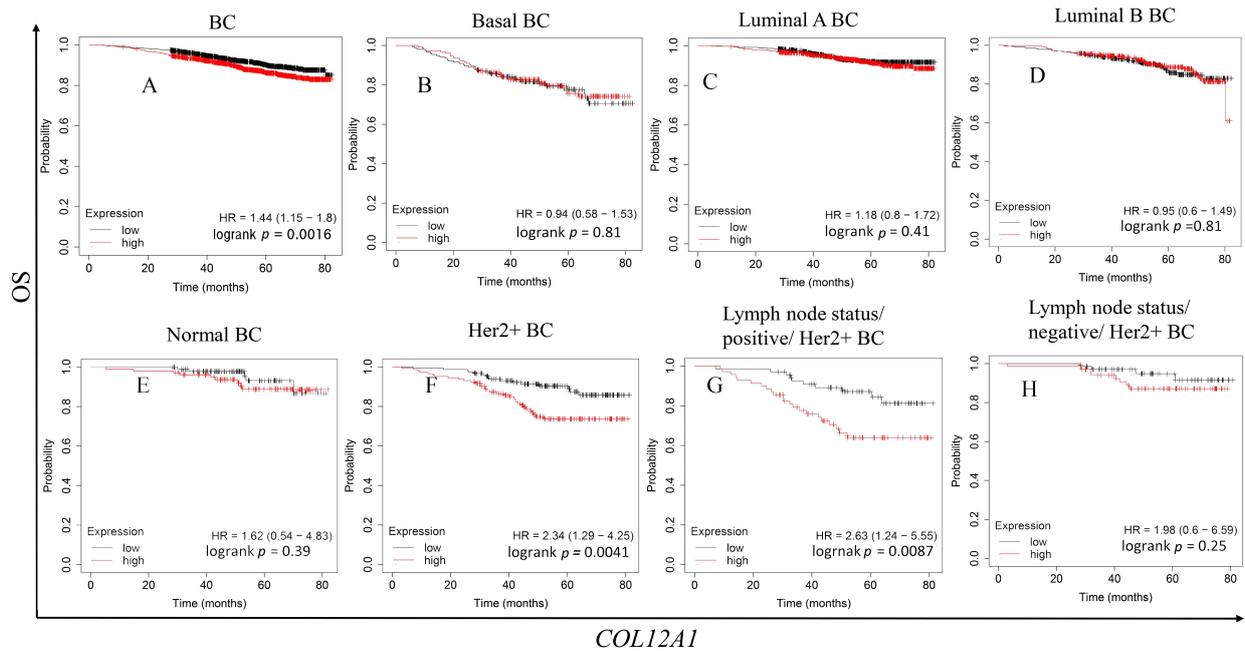


FIGURE 2. The prognostic value of *COL12A1* in BC patients. KM Plotter was used for OS analysis. Logrank p and HR with 95% CIs are displayed. $p < 0.05$ indicated statistical differences. (A) BC ($n = 2976$, $p = 0.0016$). (B) Basal ($n = 309$, $p = 0.81$). (C) Luminal A ($n = 1504$, $p = 0.41$). (D) Luminal B ($n = 668$, $p = 0.81$). (E) Normal ($n = 200$, $p = 0.39$). (F) Her2+ ($n = 295$, $p = 0.0041$). (G) Lymph node-positive/Her2+ ($n = 137$, $p = 0.0087$). (H) Lymph node-negative/Her2+ ($n = 139$, $p = 0.25$). BC, breast cancer; OS, overall survival; HR, hazard ratio; CIs, confidence intervals; Her: human epidermal growth factor receptor.

2.3 Correlation between *COL12A1* and immune cells infiltration in HER2-positive BC

Here, the correlation between *COL12A1* and the various immune cells infiltration was analyzed to investigate the potential regulatory function of *COL12A1* in the immune microenvironment in HER2-positive BC using TIMER2.0 [13], a web service platform for comprehensive analysis of immune cell infiltration in tumor tissue based on RNA-Seq expression profiling data. Briefly, the immune association module and *COL12A1* were selected, then NK cell, CD8+ T cell, CD4+ T cell and macrophage were selected as immune infiltrate. Purity adjustment and Spearman's ρ were selected (positive-correlation: $\rho > 0$, $p < 0.05$; negative-correlation: $\rho < 0$, $p < 0.05$). Eighty-two cases of breast invasive cancer (*BRCA*)-Her2 data from the TCGA were retrieved and analyzed using cell-type identification by estimating relative subsets of RNA transcript (CIBERSORT).

2.4 Correlation between *COL12A1* and immune checkpoints in HER2-positive BC

To further investigate the association of *COL12A1* with immune checkpoint inhibitors in HER2-positive BC, the correlation between *COL12A1* and immune checkpoints was analyzed using TIMER2.0. Briefly, the cancer exploration module and Gene-Corr were selected, then *COL12A1* was selected as the gene of interest. *PDCD1LG2* (*PD-L2*), *CD274* (*PD-L1*) and *PDCD1* (*PD-1*) were selected as gene expression. Lastly, purity adjustment and Spearman's ρ were selected (positive-

correlation: $\rho > 0$, $p < 0.05$; negative-correlation: $\rho < 0$, $p < 0.05$). In all, the data of 82 cases of *BRCA*-Her2 from the TCGA were retrieved and analyzed.

2.5 Statistical analyses

Statistical analyses were investigated using GraphPad Prism 8 (GraphPad Software, San Diego, CA, USA). The t -test was used for the comparison of gene expression levels. The hazard ratio (HR) and p values of the log-rank test in the survival curve were automatically analyzed and generated using the KM Plotter. The data in TIMER2.0 were evaluated using Spearman correlation and p value. $p < 0.05$ was considered statistically significant.

3. Results

3.1 Expression of *COL12A1* is increased in BC

The mRNA level of *COL12A1* was overexpressed in human cancers, including BC, in the TCGA samples compared with corresponding normal tissue (Fig. 1A). In addition, *COL12A1* was higher in all subtypes, including the HER2-positive subtype, compared with normal breast tissues (Fig. 1B, HER2 vs. Normal, $***p < 0.001$). The protein expression level of *COL12A1* in BC tissues ($n = 125$) was higher than in normal breast tissues in the CPTAC samples using the UALCAN platform ($n = 18$), and the difference was statistically significant (Fig. 1C, Primary tumor vs. Normal, $****p < 0.0001$).

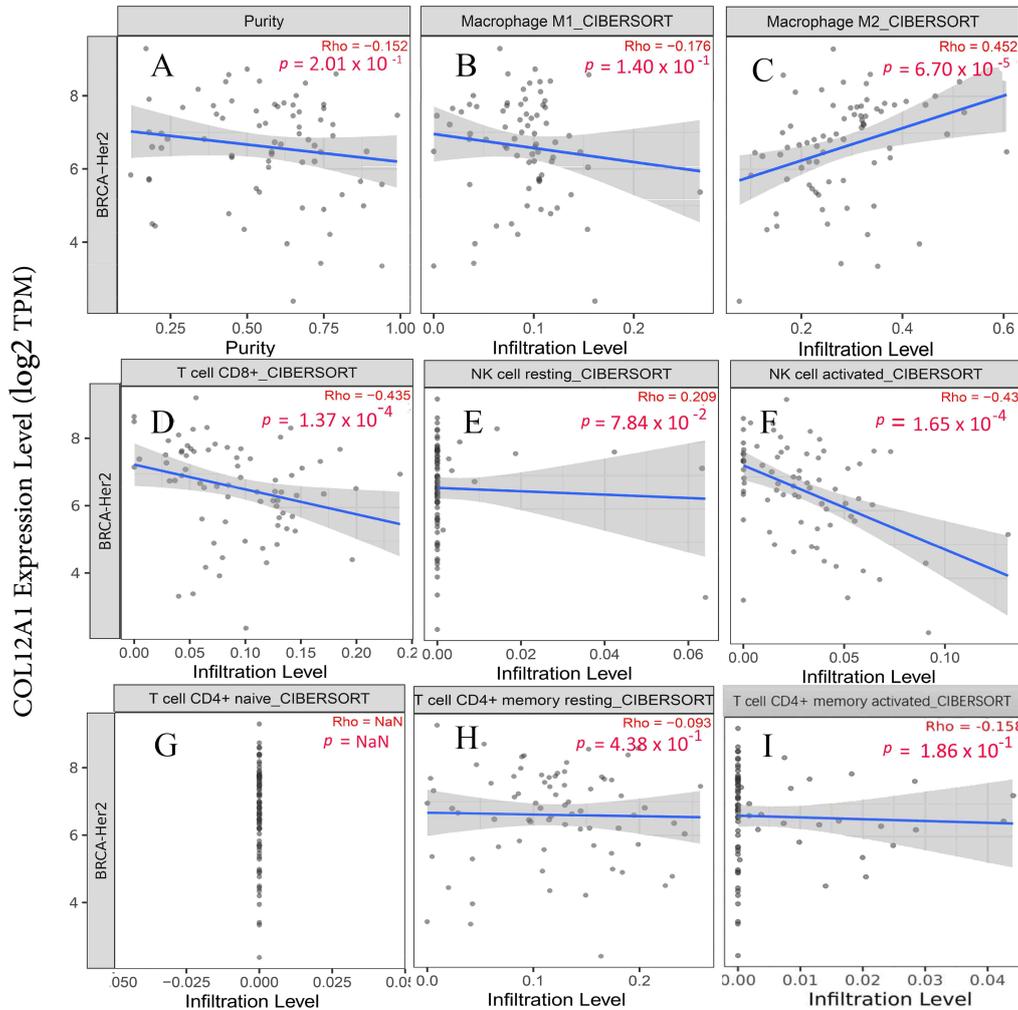


FIGURE 3. Correlation between *COL12A1* and immune cell infiltration in HER2-positive BC by TIMER2.0. *COL12A1* was found to be (A) uncorrelated with tumor purity, (B) uncorrelated with M1 macrophages, (C) positively correlated with M2 macrophages ($\rho = 0.452$, $p = 6.7 \times 10^{-5}$), (D) negatively correlated with CD8+ T cells ($\rho = -0.435$, $p = 1.37 \times 10^{-4}$), (E) uncorrelated with resting NK, and (F) negatively correlated with activated NK ($\rho = -0.43$, $p = 1.65 \times 10^{-4}$). (G) No naive CD4+ T cells were detected by CIBERSORT. (H) *COL12A1* was uncorrelated with memory resting CD4+ T cells, and (I) memory activated CD4+ T cells. BRCA: breast invasive cancer; CD: cluster of differentiation; NK: natural killer; *COL12A1*: *Collagen Type XII Alpha 1 Chain*.

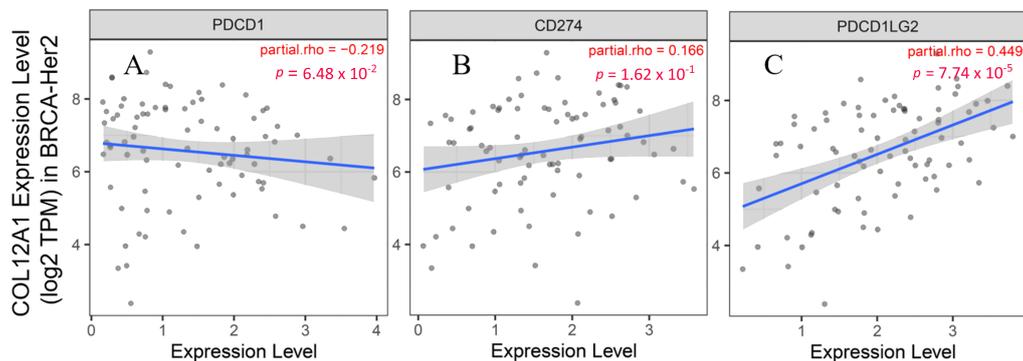


FIGURE 4. Correlation between *COL12A1* and immune checkpoints in HER2-positive BC using TIMER2.0. *COL12A1* was (A) uncorrelated with *PDCD1*. (B) uncorrelated with *CD274*. And (C) positively correlated with *PDCD1LG2* (partial. rho = 0.449, $p = 7.74 \times 10^{-5}$). partial. rho, partial correlation coefficient. TPM: transcripts per million; BRCA: breast invasive cancer; *COL12A1*: *Collagen Type XII Alpha 1 Chain*; *PDCD1LG2*: *programmed cell death 1 ligand 2*; Her: human epidermal growth factor receptor.

3.2 COL12A1 is associated with the prognosis of HER2-enriched BC

The KM Plotter analysis showed a possible association between *COL12A1* and OS in univariate analysis. Increased expression of *COL12A1* was correlated with worse OS in BC and HER2-enriched BC subtype patients (Fig. 2A, $p = 0.0016$; Fig. 2F, $p = 0.0041$). Moreover, the results indicated that *COL12A1* expression was not associated with the OS of luminal A, luminal B and normal-like BC patients (Fig. 2B–E). A high expression level of *COL12A1* was associated with poor OS in positive lymph node status and HER2-enriched BC patients (Fig. 2G, $p = 0.0087$). In addition, *COL12A1* expression was not associated with the OS of positive lymph node status and HER2-enriched BC patients (Fig. 2H, $p = 0.025$).

3.3 COL12A1 is associated with the infiltration of immune cell in HER2-positive BC

TIMER2.0 analysis indicated that *COL12A1* was uncorrelated with the tumor purity of HER2-positive BC (Fig. 3A) and was closely correlated with the infiltration of immune cell in BC. *COL12A1* was unrelated with M1 macrophages infiltration (Fig. 3B), but positively correlated with the M2 macrophages infiltration ($\rho = 0.452$, $p = 6.7 \times 10^{-5}$, Fig. 3C). *COL12A1* was negatively correlated with CD8+ T cells ($\rho = -0.435$, $p = 1.37 \times 10^{-4}$, Fig. 3D). *COL12A1* was unrelated with resting NK cells (Fig. 3E), but negatively correlated with activated NK cells ($\rho = -0.43$, $p = 1.65 \times 10^{-4}$, Fig. 3F) infiltration in HER2-positive BC patients. In addition, *COL12A1* was unrelated with CD4+ T cells (Fig. 3G–I).

3.4 COL12A1 is correlated with PDCD1LG2 in HER2-positive BC

COL12A1 was uncorrelated with immune checkpoints *PDCD1* and *CD274* (Fig. 4A–B). In addition, *COL12A1* was correlated with immune checkpoint *PDCD1LG2* (partial.rho = 0.449, $p = 7.74 \times 10^{-5}$, Fig. 4C).

4. Discussion

COL12A1 was reported to be overexpressed in various cancers [9–12]. This study confirmed that *COL12A1* was overexpressed in breast invasive carcinoma using the web tool TIMER2.0. Moreover, the mRNA and protein expression levels of *COL12A1* analyzed by UALCAN in BC tissues were significantly higher than in normal tissues. Consistent with our findings, Xu *et al.* [12] found that *COL12A1* was increased in breast cancer. *COL12A1* was also shown to be significantly upregulated in gastric cancer and contributed to poor OS [9]. Together, the data suggested that *COL12A1* might be involved in tumor progression.

Given that BC is a highly heterogeneous tumor, we further analyzed the association of *COL12A1* with BC patients' OS using the Kaplan-Meier Plotter. The results indicated that the OS of HER2-enriched BC patients with high level of *COL12A1* was significantly lower than those with low level of *COL12A1*.

In contrast, *COL12A1* expression was uncorrelated with the OS of basal-like, luminal A, luminal B and normal-like BC. High level of *COL12A1* was associated with lymph node metastasis and HER2-enriched BC. Altogether, these findings indicate that the prognostic value of *COL12A1* in BC needs to pay close attention to its heterogeneity.

Another important source of HER2-positive BC heterogeneity might be related to its underlying tumor immune microenvironment (TIM) [16]. Immune cells and immune checkpoints in TIM are the executors of immune clearance, surveillance and tolerance of the body against tumors [17]. This finding gave a comprehensive understanding of *COL12A1* on the infiltration of CD8+ T cells, NK cells and macrophages. *COL12A1* in HER2-positive BC was positively correlated with the infiltration of M2 macrophages and negatively correlated with CD8+ T cells and activated NK cells. It suggested that *COL12A1* may participate in the formation of tumor immunosuppressive microenvironment in HER2-positive BC. Consistent with our research report, it was previously reported that *COL12A1* strongly impacted immune cell infiltrations [18].

The involvement of *PDCD1LG2* in interferon (IFN)- γ production and T-cell proliferation was reported to be in a *PDCD1*-independent manner [19]. In this present study, we showed that *COL12A1* was positively associated with *PDCD1LG2*, suggesting that increased *COL12A1* might be involved in increased *PDCD1LG2* infiltration in HER2-positive BC.

5. Conclusions

COL12A1 was overexpressed in all subtypes of BC. High *COL12A1* expression may contribute to poor OS in HER2-enriched BC. *COL12A1* may act as a potential poor prognostic biomarker in HER2-enriched BC patients. *COL12A1* may correlate with immune infiltration in HER2-positive BC.

AUTHOR CONTRIBUTIONS

YH—Designing the study, Data analysis, Writing—Original Draft & Editing; XH—Data analysis, Writing—Original Draft & Editing; XL—Supervision, Writing—Original Draft/Review & Editing; SL—Supervision, Writing—Original Draft/Review & Editing.

ETHICS APPROVAL AND CONSENT TO PARTICIPATE

Not applicable.

ACKNOWLEDGMENT

We thank the peer reviewers for their suggestions.

FUNDING

This research received no external funding.

CONFLICT OF INTEREST

The authors declare no conflict of interest.

REFERENCES

- [1] Sung H, Ferlay J, Siegel RL, Laversanne M, Soerjomataram I, Jemal A, *et al.* Global cancer statistics 2020: GLOBOCAN estimates of incidence and mortality worldwide for 36 cancers in 185 countries. *CA: A Cancer Journal for Clinicians.* 2021; 71: 209–249.
- [2] Parker JS, Mullins M, Cheang MCU, Leung S, Voduc D, Vickery T, *et al.* Supervised risk predictor of breast cancer based on intrinsic subtypes. *Journal of Clinical Oncology.* 2009; 27: 1160–1167.
- [3] Dieci MV, Miglietta F, Griguolo G, Guarneri V. Biomarkers for HER2-positive metastatic breast cancer: beyond hormone receptors. *Cancer Treatment Reviews.* 2020; 88: 102064.
- [4] Loi S, Sirtaine N, Piette F, Salgado R, Viale G, Van Eenoo F, *et al.* Prognostic and predictive value of tumor-infiltrating lymphocytes in a phase III randomized adjuvant breast cancer trial in node-positive breast cancer comparing the addition of docetaxel to doxorubicin with doxorubicin-based chemotherapy: BIG 02-98. *Journal of Clinical Oncology.* 2013; 31: 860–867.
- [5] Loi S, Michiels S, Salgado R, Sirtaine N, Jose V, Fumagalli D, *et al.* Tumor infiltrating lymphocytes is prognostic and predictive for trastuzumab benefit in early breast cancer: results from the FinHER trial. *Annals of Oncology.* 2014; 25: 1544–1550.
- [6] Wang L, Zhang X, Wang M, Li Y, Xu J, Wei J, *et al.* AMPD1 is associated with the immune response and serves as a prognostic marker in HER2-positive breast cancer. *Frontiers in Oncology.* 2021; 11: 749135.
- [7] Pan TC, Zhang RZ, Mattei MG, Timpl R, Chu ML. Cloning and chromosomal location of human alpha 1(XVI) collagen. *Proceedings of the National Academy of Sciences.* 1992; 89: 6565–6569.
- [8] Mohan V, Das A, Sagi I. Emerging roles of ECM remodeling processes in cancer. *Seminars in Cancer Biology.* 2020; 62: 192–200.
- [9] Jiang X, Wu M, Xu X, Zhang L, Huang Y, Xu Z, *et al.* *COL12A1*, a novel potential prognostic factor and therapeutic target in gastric cancer. *Molecular Medicine Reports.* 2019; 20: 3103–3112.
- [10] Wu Y, Xu Y. Integrated bioinformatics analysis of expression and gene regulation network of *COL12A1* in colorectal cancer. *Cancer Medicine.* 2020; 9: 4743–4755.
- [11] Ding J, Liu Y, Lai Y. Identifying MMP14 and *COL12A1* as a potential combination of prognostic biomarkers in pancreatic ductal adenocarcinoma using integrated bioinformatics analysis. *PeerJ.* 2020; 8: e10419.
- [12] Xu Y, Deng J, Wang L, Zhang H, Tang L, Huang Y, *et al.* Identification of candidate genes associated with breast cancer prognosis. *DNA and Cell Biology.* 2020; 39: 1205–1227.
- [13] Li T, Fan J, Wang B, Traugh N, Chen Q, Liu JS, *et al.* TIMER: a web server for comprehensive analysis of tumor-infiltrating immune cells. *Cancer Research.* 2017; 77: e108–e110.
- [14] Chandrashekar DS, Karthikeyan SK, Korla PK, Patel H, Shovon AR, Athar M, *et al.* UALCAN: an update to the integrated cancer data analysis platform. *Neoplasia.* 2022; 25: 18–27.
- [15] Lániczky A, Györfy B. Web-based survival analysis tool tailored for medical research (KMplot): development and implementation. *Journal of Medical Internet Research.* 2021; 23: e27633.
- [16] Munkacsy G, Santarpia L, Györfy B. Gene expression profiling in early breast cancer-patient stratification based on molecular and tumor microenvironment features. *Biomedicines.* 2022; 10: 248.
- [17] Jia Q, Wang A, Yuan Y, Zhu B, Long H. Heterogeneity of the tumor immune microenvironment and its clinical relevance. *Experimental Hematology & Oncology.* 2022; 11: 24.
- [18] Li Y, Su Z, Wei B, Qin M, Liang Z. Bioinformatics analysis identified MMP14 and *COL12A1* as immune-related biomarkers associated with pancreatic adenocarcinoma prognosis. *Mathematical Biosciences and Engineering.* 2021; 18: 5921–5942.
- [19] Yearley JH, Gibson C, Yu N, Moon C, Murphy E, Juco J, *et al.* PD-L2 Expression in human tumors: relevance to anti-PD-1 therapy in cancer. *Clinical Cancer Research.* 2017; 23: 3158–3167.

How to cite this article: Yi Hu, Xiaomei Huang, Xiaohui Lu, Shan Lin. *COL12A1* as a prognostic biomarker in HER2-enriched breast cancer and its association with immune infiltration. *European Journal of Gynaecological Oncology.* 2022; 43(5): 85-90. doi: 10.22514/ejgo.2022.045.